Manuscript Clearance

The Graduate School

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Workshop Overview

Part 1
Resolve Potential Issues
- Copyright Essentials
- Research Approvals
- Valid Committee Rules

Part 2
Understand the Process
- Clearance Steps
- Manuscript Submission
- Clearance Forms
- Submission Deadlines

Part 3
Review Proper Formatting
- Review Our Guidelines
- WORD Formatting Tips & Tricks

Thesis, Treatise, and Dissertation website:

Workshop presentation:
https://gradschool.fsu.edu/academics-research/thesis-treatise-and-dissertation/manuscript-workshops
Resolve Potential Issues

- Copyright Essentials
- Research Approvals
- Valid Committee Rules
Use of Copyrighted Material

- Obtaining permission to use copyrighted material is **student’s responsibility** and must be a priority.
- Some material may be covered by “Fair Use”; if in doubt, request permission from copyright owner.
- “Fair Use” Determination Tools & Copyright Resources: [http://guides.lib.fsu.edu/copyright](http://guides.lib.fsu.edu/copyright)
- **Copyright Permission Letter(s)**
  - Must upload to your ProQuest ETD account
  - Do not place in appendix unless requested by owner.
Student’s Copyright

- In most cases, student owns copyright once manuscript is "fixed in a tangible medium”

- **Recommended, but optional:**
  - Copyright registration ([www.copyright.gov](http://www.copyright.gov))
  - Add © symbol with name & year to the title page

- **If any part of the manuscript has been published:**
  - Copyright may have been assigned to the publisher
  - Publisher must be recognized according to their terms
Direct all copyright questions to FSU’s Office of Digital Research & Scholarship

- Camille Thomas
  Scholarly Communications Librarian
  - Phone: (850) 644-2163
  - Email: cthomas5@fsu.edu
  - Located on lower level of Strozier
Human Subjects Research Approval (IRB)

- **Examples of research that may need IRB approval**
  - Primary research using human subjects (including surveys/questionnaires)
  - Secondary research using data linked to human subjects
  - Research using human cell lines

- **Only the Office for Human Subjects Protection (OHSP) or IRB** has the authority to determine if projects qualify as “exempt” or “not human subjects research”

- **Approvals must cover entire period of research**
  - Obtain approval prior to beginning data collection
  - Maintain approval until all analyses are complete
  - Obtain re-approval(s) if needed
Human Subjects Research Approval (IRB)

- Student must be listed on project as one of the following:
  - **Principal Investigator** on IRB Approval
  - **Associate (or Co-) Investigator** on IRB application
    - Include page of application that lists student’s name & role

- Include **ALL** IRB Approval/Re-Approval memos in an appendix, plus sample informed consent for primary research

- Students must delete/redact/obscure their personal contact info on memos, applications, and consent letters
  - If obscuring, deactivate any hyperlinked email addresses

Contact the Office for Human Subjects Protection with questions or concerns at (850) 644-7900 or humansubjects@fsu.edu
Approval from the ACUC is typically needed when research involves *vertebrate* animals.

Approval must cover entire period of research and data analyses.

Include **Letter of Assurance** to include in appendix:
- May request from FSU’s ACUC Office
- Students must obscure the signature at the bottom of the letter.

Contact the ACUC Office with questions or concerns at (850) 644-4262 or ACUCSecretary@fsu.edu
Committees

- **Must have valid committee in GST prior to defense**
  - *Doctoral Committee*
    - Minimum 4 members with GFS
    - University Rep: Tenured at FSU; from outside department
  - *Master’s Committee*
    - Minimum 3 members with GFS

- **Members that need “temporary status”**
  - Must hold this status *prior* to the defense
  - May only serve as Co-Chair or Member *in addition* to required GFS members

- Committee in GST = Committee in manuscript
  *(Contact Graduate Prog. Coordinator for committee revisions in GST)*
Understand the Process

- Clearance Steps
- Manuscript Submission
- Clearance Forms
- Submission Deadlines
1-2. Complete in any order:
   ▪ Submit pre-defense manuscript to our office through ProQuest ETD
   ▪ Submit Defense Announcement in Manuscript Clearance (MC) Portal

3. Receive initial formatting feedback from our office

4. Successfully complete defense

5. Revise manuscript: Content changes from committee AND required formatting revisions from our office

6. Get revisions approved by committee; make sure all forms through Final Content Approval Form are complete in the MC Portal

7. Submit post-defense, revised manuscript through ProQuest ETD

8. Receive additional formatting feedback, revise, and resubmit (as many times as needed)

9. Manuscript is officially cleared!
Pre-defense format review is required

To be “reviewable,” the manuscript MUST:

- Be at least 90% complete
  - Include all required sections: Title/committee pages, Table of Contents (with page #s), Lists (if needed; with page #s), Abstract, Chapters, References, Bio. Sketch, and most tables/figures
- Be consistently formatted per most recent version of Guidelines and Requirements for ETDs

If not properly formatted or not 90% complete:

- Will NOT be reviewed
- Will NOT be considered as meeting any deadline
Submit pre-defense manuscript and revisions via ProQuest ETD Admin. ([www.etdadmin.com/fsu](http://www.etdadmin.com/fsu))

Must set up ProQuest account to submit pre-defense manuscript (*last step in set-up process*)
- Students emailed confirmation after initial submission, but *not* for revisions

Manuscripts received by our office (*not by ProQuest*)
- *Final version* delivered to ProQuest by our office after graduation is verified by FSU Registrar
ProQuest Account Setup

- Options selected during account setup refer to **final version**, not pre-defense version of manuscript
- All paid services offered by ProQuest are **optional**

**Publishing Agreement**
- Traditional *(free)* vs. Open Access Publishing *(+$95)*
- FSU’s digital repository includes **free open access** publishing

**Copyright Registration** *(+$55; less $ if done by student)*

**Ordering Copies** *(+$38-$62 per copy)*
- May take 6 months after graduation to receive copies

*After setup, paid options can only be changed by contacting ProQuest (disspub@proquest.com; 800-521-0600 ext. 77020)*
Supplementary Files

- ProQuest ETD provides a place to upload supplementary files
- May include videos, sound files, large images, large data files, etc.
- Provide short description of each file in the abstract (both in manuscript and ProQuest)
- Contact our office for alternate instructions if large files are not uploading
All Manuscript Clearance forms are completed through the *Manuscript Clearance Portal*: clearance.fsu.edu

- Same web address used for students, faculty, & staff
  - Log in with FSUID and password
- Non-FSU committee members with temporary status must activate FSUID prior to defense to access Portal
- Committee members are sent reminder emails about forms on day of defense and once per week after defense until complete
View after first log in: Manuscript Clearance Information

This page is not comprehensive

Students are responsible for reviewing all information on the Thesis, Treatise, and Dissertation website

Tip: The garnet “i” icons contain extra information, which is displayed when cursor is moved over icon.
Student must complete two forms on this tab

Student profile is not visible to MC Office & Grad. Coordinators until either form is submitted

Reminder emails sent to students after a form is submitted
Submit when scheduled, but **2 weeks** before defense at latest

**Confirm accuracy** of committee (*If incorrect, contact Grad. Program Coordinator immediately*)

Edits can be made until **48 hours before** defense

If date/time changes, students **must revise** form immediately to avoid issues
Manuscript Access Agreement

- Manuscripts **archived in FSU Libraries and ProQuest** as condition of undertaking graduate study at FSU
- Provides details about agreement and access options
- Completion **before** defense is encouraged, but must be complete before final deadline
After completing initial agreement, students must select access option

- **World Wide**
  - Does not require additional information
  - Only needs approval by committee chair(s)

- **Embargo Access**
  - Must complete *Embargo Request* section
  - Needs approval by comm. chair(s), MC Advisor, and Grad. School Dean

**Tip:** Click “Expand Definition” for description of each option.
Embargo Information

- **Embargo access** means viewers can see metadata (title, abstract, etc.), but cannot access the full text.

- **Reasons to request embargo:** Publishing book, journal preprint policy, submitting patent, etc.

- Initial embargoes are for **24 months** with up to two renewals, if warranted (*New request must be approved each time*).

- Embargo access in *Manuscript Access Agreement* must **concur** with selection on ProQuest (*referred to as “delaying release of your work”*).

- Requests **not** accepted after manuscript is cleared.
- Select reason for embargo request and provide \textit{detailed justification} where indicated

- Must also select option for \underline{after embargo expiration} and provide permanent email for reminders (\textit{not shown})
Post-Defense Forms Summary

- Deadlines display after Defense Announcement is completed.
- Form availability and status can be tracked on the *Forms Summary* tab before and after defense.
- Any *outstanding signatures* can be viewed after defense.
# Post-Defense Forms Overview

<table>
<thead>
<tr>
<th>Form Name</th>
<th>When Is Form Submitted?</th>
<th>Who Will Submit?</th>
<th>Who Must Approve?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manuscript Signature</td>
<td>After defense</td>
<td>All committee members</td>
<td>n/a</td>
</tr>
<tr>
<td>Final Content Approval</td>
<td>After previous forms are complete (incl. Access Agreement)</td>
<td>Committee Chair(s)</td>
<td>n/a</td>
</tr>
<tr>
<td>Official Final Manuscript Clearance</td>
<td>After all forms are complete and manuscript formatting is approved</td>
<td>Manuscript Clearance Advisor</td>
<td>n/a</td>
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*Ultimately, it is the student’s responsibility to ensure that all forms are completed in the MC Portal by the deadline.*
<table>
<thead>
<tr>
<th>Semester Deadline</th>
<th>Spring 2020</th>
<th>Summer 2020</th>
<th>Fall 2020</th>
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<tbody>
<tr>
<td>Last day to submit doctoral dissertation or treatise for pre-defense format review</td>
<td>Mar. 9</td>
<td>Jun. 15</td>
<td>Oct. 26</td>
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<tr>
<td><em>(Submit on ProQuest ETD by 11:59 pm ET)</em></td>
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<tr>
<td>Last day to submit master’s thesis for pre-defense format review</td>
<td>Mar. 30</td>
<td>Jun. 29</td>
<td>Nov. 9</td>
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<tr>
<td><em>(Submit on ProQuest ETD by 11:59 pm ET)</em></td>
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<tr>
<td>Last day to submit the following <em>(by 11:59 pm ET)</em></td>
<td>Apr. 13</td>
<td>Jul. 13</td>
<td>Nov. 23</td>
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<tr>
<td>• Post-defense manuscript on ProQuest ETD</td>
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<td>• MC Portal forms through Final Content Approval</td>
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<td>Last day for all students to receive Official Final Clearance in the MC Portal</td>
<td>May 1</td>
<td>Jul. 31</td>
<td>Dec. 11</td>
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<td><em>(Receive clearance email by 5:00 pm ET)</em></td>
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Plan Ahead to Meet Deadlines

- Students who miss a semester deadline are **ineligible** to graduate in current semester
- Strongly recommend defending at least **1 week** before post-defense deadline
- Even if defense is near/on post-defense deadline
  - **ALL** content changes AND **Final Content Approval Form** must be complete by 11:59 pm ET of deadline
  - Extensions **NOT** granted
- After post-defense deadline
  - Formatting corrections *usually* required
  - Content corrections **NOT** permitted
60-Day Deadline

- 60-Day Deadline applies to students who
  - Defend early in semester of expected graduation
  - Defend in a semester prior to expected graduation
  - Missed a semester deadline after defending (which delays graduation)

- No later than **60 days** after a successful defense
  - Post-defense, final content-approved manuscript submitted
  - Required forms (through Final Content Approval) completed

- No later than **1 week** after the 60-Day Deadline
  - Requested formatting corrections complete
  - Official Final Clearance achieved in the MC Portal

*Please note:* University closures and weekends are **not** excluded when calculating the 60 days, but deadline may be adjusted if it occurs during closure or on weekend.
Review Proper Formatting

✓ Review Our Guidelines
✓ Formatting Tips & Tricks in Word
Page Size and Margins

- **Page Size**
  - 8.5 x 11 inches; no exceptions
    (Make sure **not** A4, 8.27 × 11.69 inches)

- **Margins**
  - 1 inch – top, bottom, left, right
  - No text, tables, or figures can extend into margins
    (only page numbers)
Pagination

- **Page Numbers**
  - All located bottom-center of page
  - ~0.75 inches from bottom edge

- **Preliminary Pages (i.e., front matter)**
  - Lower case Roman numerals (i, ii, iii, etc.)
  - Title Page = Page i, but not numbered

- **Body of Manuscript**
  - Arabic numerals (1, 2, 3, etc.)
  - First page after Abstract = Page 1
Fonts

- **Use same font for entire manuscript**
  - For WORD, use “TrueType” font (e.g., *Times New Roman*, *Arial*, *Courier*, *Calibri*)

- **Font size**
  - 12 pt, including subheadings, table headings, figure captions, etc.
  - Main section headings may be up to 14 pt

- **Exceptions:** Footnotes, text within tables, figures, etc., and appendices

- **Use black font** for all text outside of tables/figures
Line Spacing

- All **1.5 OR all double** spaced for abstract, body of text, and biographical sketch (*if in paragraph form*)

**Exceptions**

- **Must** use 1.5 line spacing:
  - *Committee Page*

- **Must** be single-spaced with 1 blank line inserted between entries:
  - *References & List of Figures/Tables*

- **Must** be single-spaced throughout manuscript:
  - *Table headings & figure captions*

- **May** be single-spaced, if consistent:
  - *Block quotes & footnotes*
There are several different types of apples. Some of these are Granny Smith, Golden Delicious, Red Delicious, Gala, and McIntosh. Apples can be used to make many delicious recipes.
Subheadings

- Same font and font size as text (12 pt)
- Each level of subheading
  - Must be formatted *differently* from other levels, but *consistently* across all chapters
  - Use any variation of capitalization (title or sentence case, NOT all CAPS); alignment (centered, left, indented); font face (normal, bold, italics); underlined
- If using numbers, at least 1\textsuperscript{st} and 2\textsuperscript{nd} levels must be numbered (*no Roman numerals or letters*)
- At least 2 lines of text needed under subheadings at bottom of page
- Cannot skip a level of subheading
CHAPTER 1
INTRODUCTION

Apples of the World

There are several different types of apples. Some of these are
Granny Smith, Golden Delicious, Red Delicious, Gala, and
McIntosh. Apples can be used to make many delicious recipes.

Yellow and Green Apples

Golden Delicious and Granny Smith are examples of yellow or
green apples.

Apples for cooking. Apples can be used to make many
delicious recipes.
Tables and Figures

- Must be included in front matter
  - List of Tables, if there is more than 1 table
  - List of Figures, if there is more than 1 figure
  - Applies even if all figures/tables are in appendices

- Choose how to number figures/tables
  - Consecutively: Figure/Table 1, 2, 3, etc.
  - Tied to location within chapter: Figure/Table 1.1, 1.2, A.1, etc.

- Choose how to include figures and tables
  - Embed them in text (must adhere to white space rule)
  - Group at end of each chapter
  - Group in one or more appendices

- All table headings and figure captions must be unique
Tables

- **ALL** tables must have a **heading** which is above the table, single-spaced, and the same font size as body text.

- Table and heading must be *clearly separated* from text or other tables and figures.

Fusce posuere, magna sed pulvinar ultricies, purus lectus malesuada libero, sit amet commodo magna eros quis urna. Nunc viverra imperdiet enim. Fusce est. Vivamus a tellus. Pellentesque

**Table 2. This is the heading for Table 2.** Lorem ipsum dolor sit amet, consectetur adipiscing elit. Maecenas porttitor congue massa.

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habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas; proin pharetra. Aliquam erat volutpat. Integer ultrices lobortis eros. Pellentesque habitant morbi tristique
ALL figures must have a **caption** that is below the figure, single-spaced, and same font size as body text.

Figure and caption must be **clearly separated** from text or other tables and figures.

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**Figure 1.** Bratus non foliis arborem bractea. Donec hendrerit, felis et imperdiet euismod, purus ipsum pretium metus, in lacus nulla mi nisl eget sapien. bDonec ut est in lectus consequat.

Suspendisse duis purus, scelerisque at, vulputate vitae, pretium mattis, nunc. Mauris eget neque at sem venenatis eleifend. Ut nonummy. Fusce aliquet pede non pede. Suspendisse...
Multi-Page Tables

- Entire heading is only above the first part of the table
- *List of Tables:* Only include page number where table begins
- *On all pages where the table is continued*
  - “Table x – continued” (minus quotes) must appear above each continued part of table
  - Must repeat column/row headings

Table 1 – continued

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Multi-Page Figures

- Entire caption is only under the first part of the figure
- List of Figures: Only include page number where figure begins
- “Figure x - continued” (minus quotes) must appear:
  - Under each continued part of the figure OR
  - Above the continued portion of a caption

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Figure 1. Flower colors vary widely a) mum cultivars b) tulip cultivars.
Avoid White Space

Table 2. This is the heading for the table.

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Figure 1. Flower colors vary widely: a) This is an orange mum, b) Yellow tulips, and one with a streak of red.


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Avoid White Space

Table 2. This is the heading for the table.

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Figure 1. Flower colors vary widely: a) This is an orange mum. b) Yellow tulips, and one with a streak of red.

Suspendisse dignissim lorem pellentesque magna. In aliquet nulla. Donec blandit iaculis ligula.


White Space Exceptions

Figure, tables, etc. grouped at end of chapter or in appendix

White space allowed

Figure 1. Flower colors vary widely by mum cultivar

Figure 2. Bloom colors vary widely by tulip cultivar.
White Space Exceptions

Table, figure, etc. that fills at least 2/3 of page (should be centered as shown)

White space allowed

Place more than one table/figure on a page if they fit
At this point, the Manuscript Clearance Advisors will provide tips for proper formatting in WORD.

Students may follow along in their own documents.
Manuscript Clearance Office

- Located in The Graduate School
  - 314 Westcott Building
  - (850) 644-3501
  - clearance@fsu.edu

- Laura Minor, Manuscript Clearance Advisor
  - 850-644-0045
  - laminor@fsu.edu

- Jeff Norcini, Ass’t. Manuscript Clearance Advisor
  - 850-645-7322 (Monday, Tuesday AM, & Thursday only)
  - jnorcini@fsu.edu