Manuscript Clearance
The Graduate School

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Assistant Manuscript Clearance Advisor
Workshop Overview

Part 1
Resolve Potential Issues
- Copyright Essentials
- Research Approvals
- Valid Committee Rules

Part 2
Understand the Process
- Clearance Steps
- Manuscript Submission
- Clearance Forms
- Submission Deadlines

Part 3
Review Proper Formatting
- Review Our Guidelines
- WORD Formatting Tips & Tricks

Thesis, Treatise, and Dissertation website:

Workshop presentation:
https://gradschool.fsu.edu/academics-research/thesis-treatise-and-dissertation/manuscript-workshops
Resolve Potential Issues

- Copyright Essentials
- Research Approvals
- Valid Committee Rules
Use of Copyrighted Material

- Obtaining permission to use copyrighted material is student’s responsibility and must be a priority.
- Some material may be covered by “Fair Use”; if in doubt, request permission from copyright owner.
- “Fair Use” Determination Tools & Copyright Resources: http://guides.lib.fsu.edu/copyright
- Copyright Permission Letter(s)
  - Must upload to your ProQuest ETD account
  - Do not place in appendix unless requested by owner.
Student’s Copyright

- In most cases, student owns copyright once manuscript is "fixed in a tangible medium"

- **Recommended, but optional:**
  - Copyright registration ([www.copyright.gov](http://www.copyright.gov))
  - Add © symbol with name & year to the title page

- **If any part of the manuscript has been published:**
  - Copyright may have been assigned to the publisher
  - Publisher must be recognized according to their terms
Copyright Questions?

- Direct all copyright questions to FSU’s Office of Digital Research & Scholarship

  - **Camille Thomas**
    Scholarly Communications Librarian
    - **Phone:** (850) 644-2163
    - **Email:** cthomas5@fsu.edu
    - **Located on lower level of Strozier**
Human Subjects Research Approval (IRB)

- **Examples of research that may need IRB approval**
  - Primary research using human subjects (including surveys/questionnaires)
  - Secondary research using data linked to human subjects
  - Research using human cell lines

- **Only the IRB** has the authority to determine if projects qualify as “exempt” or “not human subjects research”

- **Approvals must cover entire period of research**
  - Obtain approval prior to beginning data collection
  - Obtain re-approval(s) as required
Student must be listed on project as one of the following:

- **Principal Investigator** on IRB Approval
- **Associate (or Co-) Investigator** on IRB application
  - *Include page of application that lists student’s name & role*

**ALL** IRB Approval/Re-Approval memos in an appendix, plus sample informed consent for primary research

Students must delete/redact/obscure their personal contact info on memos, applications, and consent letters

- *If obscuring, deactivate any hyperlinked email addresses*

Contact the Office for Human Subjects Protection with questions or concerns at (850) 644-7900 or humansubjects@fsu.edu
Animal Care and Use Committee (ACUC)

- Approval from the ACUC is typically needed when research involves *vertebrate* animals.
- Approval must cover entire period of research and data analyses.
- Include *Letter of Assurance* to include in appendix:
  - May request from FSU’s ACUC Office.
  - Students must obscure the signature at the bottom of the letter.

Contact the ACUC Office with questions or concerns at (850) 644-4262 or ACUCSecretary@fsu.edu
Committees

- Must have valid committee in GST *prior* to defense
  - *Doctoral Committee*
    - Minimum 4 members with GFS
    - University Rep: Tenured at FSU; from outside department
  - *Master’s Committee*
    - Minimum 3 members with GFS

- Members that need “temporary status”
  - Must hold this status *prior* to the defense
  - May only serve as Co-Chair or Member *in addition* to required GFS members

- Committee in GST = Committee in manuscript
  *(Contact Graduate Prog. Coordinator for committee revisions in GST)*
Understand the Process

- Clearance Steps
- Manuscript Submission
- Clearance Forms
- Submission Deadlines
1-2. Complete in any order:
   - Submit pre-defense manuscript to our office through ProQuest ETD
   - Submit Defense Announcement in Manuscript Clearance (MC) Portal

3. Receive initial formatting feedback from our office

4. Successfully complete defense

5. Revise manuscript: Content changes from committee AND required formatting revisions from our office

6. Get revisions approved by committee; make sure all forms through Final Content Approval Form are complete in the MC Portal

7. Submit post-defense, revised manuscript through ProQuest ETD

8. Receive additional formatting feedback, revise, and resubmit (as many times as needed)

9. Manuscript is officially cleared!
Pre-defense format review is required

To be “reviewable,” the manuscript MUST:

- Be at least 90% complete
  - Include all required sections: Title/committee pages, Table of Contents (with page #s), Lists (if needed; with page #s), Abstract, Chapters, References, Bio. Sketch, and most tables/figures
- Be consistently formatted per most recent version of Guidelines and Requirements for ETDs

If not properly formatted or not 90% complete:

- Will NOT be reviewed
- Will NOT be considered as meeting any deadline
Submit pre-defense manuscript and revisions via ProQuest ETD Admin. (www.etdadmin.com/fsu)

Must set up ProQuest account to submit pre-defense manuscript (last step in set-up process)

- Manuscripts received by our office (not ProQuest)
- Students emailed confirmation after initial submission, but not for revisions
- Final version delivered to ProQuest by our office after graduation is verified by FSU Registrar
Options selected during account setup refer to **final version**, not pre-defense version of manuscript.

- All paid services offered by ProQuest are **optional**.

- **Publishing Agreement**
  - Traditional (*free*) vs. Open Access Publishing ($95)
  - FSU’s digital repository includes **free open access** publishing

- **Copyright Registration** ($55; less $ if done by student)

- **Ordering Copies** ($38-$62 per copy)
  - May take 6 months after graduation to receive copies

After setup, paid options can only be changed by contacting ProQuest (disspub@proquest.com; 800-521-0600)
Supplementary Files

- ProQuest ETD provides a place to upload supplementary files
- May include videos, sound files, large images, large data files, etc.
- Provide short description of each file in the abstract (both in manuscript and ProQuest)
- Contact our office for alternate instructions if large files are not uploading
All Manuscript Clearance forms are completed through the **Manuscript Clearance Portal**: [clearance.fsu.edu](https://clearance.fsu.edu)

Same web address used for students, faculty, & staff
- Log in with FSUID and password

Non-FSU committee members with temporary status must activate FSUID **prior to defense** to access Portal

Committee members are sent reminder emails about forms on day of defense and once per week after defense until complete
This page is not comprehensive

Students are responsible for reviewing all information on the Thesis, Treatise, and Dissertation website

**Tip:** The garnet “i” icons contain extra information, which is displayed when cursor is moved over icon.
- Student must complete two forms on this tab
- Student profile is not visible to MC Office & Grad. Coordinators until either form is submitted
- Reminder emails sent to students after a form is submitted
Submit when scheduled, but **2 weeks** before defense at latest

**Confirm accuracy** of committee (*If incorrect, contact Grad. Program Coordinator immediately*)

Edits can be made until **48 hours before** defense

If date/time changes, students **must revise** form immediately to avoid issues
Manuscript Access Agreement

- Manuscripts **archived in FSU Libraries and ProQuest** as condition of undertaking graduate study at FSU

- Provides details about agreement and access options

- Completion **before** defense is encouraged, but must be complete before final deadline

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**Manuscript Access Agreement Form**

Name: Minor, Laura Ashley  
EMPLID: 000061995  
FSUID: lam03c  

**FSU Access Agreement**

I hereby grant to Florida State University and its agents the non-exclusive license to archive and distribute, under the conditions specified below, my thesis, treatise or dissertation in whole or in part in all forms of media, now or hereafter known. I retain all other ownership rights to the copyright of the thesis, treatise or dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis, treatise or dissertation. I also hereby certify that:

- if appropriate, I have obtained a written permission statement from the owner(s) of each third party copyrighted matter included in my thesis, treatise or dissertation (ETD) allowing distribution as specified below, or claim Fair Use of the materials; and
- the version of the thesis, treatise or dissertation (ETD) I submitted is the same as that approved by my advisory committee.

The manuscript must be made available in its complete and original format. It cannot be subdivided into chapters and disseminated under different distribution options.

I agree to the FSU Access Agreement conditions outlined above.

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Florida State University - The Graduate School
After completing initial agreement, students must select access option

- **World Wide**
  - Does not require additional information
  - Only needs approval by committee chair(s)

- **Embargo Access**
  - Must complete *Embargo Request* section
  - Needs approval by comm. chair(s), MC Advisor, and Grad. School Dean

**Tip:** Click “Expand Definition” for description of each option.
Embargo Information

- **Embargo access** means viewers can see metadata (title, abstract, etc.), but cannot access the full text.

- **Reasons to request embargo**: Publishing book, journal preprint policy, submitting patent, etc.

- Initial embargoes are for **24 months** with up to two renewals, if warranted (*New request must be approved each time*).

- Embargo access in *Manuscript Access Agreement* **must concur** with selection on ProQuest (*referred to as “delaying release of your work”*).

- Requests **not** accepted after manuscript is cleared.
Post-Defense Forms Summary

- Deadlines display after *Defense Announcement* is completed.
- Form availability and status can be tracked on the *Forms Summary* tab before and after defense.
- Any *outstanding signatures* can be viewed after defense.
## Post-Defense Forms Overview

<table>
<thead>
<tr>
<th>Form Name</th>
<th>When Is Form Submitted?</th>
<th>Who Will Submit?</th>
<th>Who Must Approve?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manuscript Signature</td>
<td>After defense</td>
<td>All committee members</td>
<td>n/a</td>
</tr>
<tr>
<td>Final Content Approval</td>
<td>After previous forms are complete (incl. Access Agreement)</td>
<td>Committee Chair(s)</td>
<td>n/a</td>
</tr>
<tr>
<td>Official Final Manuscript Clearance</td>
<td>After all forms are complete and manuscript formatting is approved</td>
<td>Manuscript Clearance Advisor</td>
<td>n/a</td>
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</tbody>
</table>

*Ultimately, it is the student’s responsibility to ensure that all forms are completed in the MC Portal by the deadline.*
Semester Deadlines

<table>
<thead>
<tr>
<th>Semester Deadline</th>
<th>Spring 2020</th>
<th>Summer 2020</th>
<th>Fall 2020</th>
</tr>
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<tbody>
<tr>
<td>Last day to submit doctoral dissertation or treatise for pre-defense format review</td>
<td>Mar. 9</td>
<td>Jun. 15</td>
<td>Oct. 26</td>
</tr>
<tr>
<td><em>(Submit on ProQuest ETD by 11:59 pm ET)</em></td>
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<tr>
<td>Last day to submit master’s thesis for pre-defense format review</td>
<td>Mar. 30</td>
<td>Jun. 29</td>
<td>Nov. 9</td>
</tr>
<tr>
<td><em>(Submit on ProQuest ETD by 11:59 pm ET)</em></td>
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<tr>
<td>Last day to submit the following <em>(by 11:59 pm ET)</em></td>
<td>Apr. 13</td>
<td>Jul. 13</td>
<td>Nov. 23</td>
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<tr>
<td>• Post-defense manuscript on ProQuest ETD</td>
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<td>• MC Portal forms through Final Content Approval</td>
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<tr>
<td>Last day for all students to receive Official Final Clearance in the MC Portal</td>
<td>May 1</td>
<td>Jul. 31</td>
<td>Dec. 11</td>
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<td><em>(Receive clearance email by 5:00 pm ET)</em></td>
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Plan Ahead to Meet Deadlines

- **Students who miss a semester deadline are ineligible to graduate in current semester**
- Strongly recommend defending at least **1 week before** post-defense deadline
- **Even if defense is near/on post-defense deadline**
  - ALL content changes AND Final Content Approval Form must be complete by 11:59 pm ET of deadline
  - Extensions **NOT** granted
- **After post-defense deadline**
  - Formatting corrections *usually* required
  - Content corrections **NOT** permitted
60-Day Deadline

- **60-Day Deadline applies to students who**
  - Defend *early* in semester of expected graduation
  - Defend in a semester *prior* to expected graduation
  - Missed a semester deadline after defending (which delays graduation)

- **No later than *60 days* after a successful defense**
  - Post-defense, final content-approved manuscript submitted
  - Required forms (through *Final Content Approval*) completed

- **No later than *1 week* after the 60-Day Deadline**
  - Requested formatting corrections complete
  - *Official Final Clearance* achieved in the MC Portal

*Please note:* University closures and weekends are not excluded when calculating the 60 days, but deadline may be adjusted if it occurs during closure or on weekend.
Review Proper Formatting

✓ Review Our Guidelines
✓ Formatting Tips & Tricks in Word
Page Size and Margins

- **Page Size**
  - 8.5 x 11 inches; no exceptions
    (Make sure not A4, 8.27 × 11.69 inches)

- **Margins**
  - 1 inch – top, bottom, left, right
  - No text, tables, or figures can extend into margins
    (only page numbers)
Pagination

- **Page Numbers**
  - All located bottom-center of page
  - ~0.75 inches from bottom edge

- **Preliminary Pages (i.e., front matter)**
  - Lower case Roman numerals (i, ii, iii, etc.)
  - Title Page = Page i, but not numbered

- **Body of Manuscript**
  - Arabic numerals (1, 2, 3, etc.)
  - First page after Abstract = Page 1
**Fonts**

- **Use same font for entire manuscript**
  - For WORD, use “TrueType” font (e.g., *Times New Roman*, *Arial*, *Courier*, *Calibri*)

- **Font size**
  - 12 pt, including subheadings, table headings, figure captions, etc.
  - Main section headings may be up to 14 pt

- **Exceptions:** Footnotes, text within tables, figures, etc., and appendices

- Use **black font** for all text outside of tables/figures
Line Spacing

- All **1.5 OR all double** spaced for abstract, body of text, and biographical sketch *(if in paragraph form)*

**Exceptions**

- **Must** use 1.5 line spacing:
  - *Committee Page*

- **Must** be single-spaced with blank line between each entry:
  - *References & List of Figures/Tables*

- **Must** be single-spaced throughout manuscript:
  - *Table headings & figure captions*

- **May** be single-spaced, if consistent:
  - *Block quotes & footnotes*
There are several different types of apples. Some of these are Granny Smith, Golden Delicious, Red Delicious, Gala, and McIntosh. Apples can be used to make many delicious recipes.
Subheadings

- Same font and font size as text (12 pt)

- **Each level of subheading**
  - Must be formatted *differently* from other levels, but *consistently* across all chapters
  - Use any variation of capitalization (title or sentence case, NOT all/small CAPS); alignment (centered, left, indented); font face (normal, bold, italics); underlined

- If using numbers, at least 1\textsuperscript{st} and 2\textsuperscript{nd} levels must be numbered (*no Roman numerals or letters*)

- At least 2 lines of text needed under subheadings at bottom of page

- Cannot skip a level of subheading
# CHAPTER 1
## INTRODUCTION
### Apples of the World

There are several different types of apples. Some of these are Granny Smith, Golden Delicious, Red Delicious, Gala, and McIntosh. Apples can be used to make many delicious recipes.

### Yellow and Green Apples

Golden Delicious and Granny Smith are examples of yellow or green apples.

### Apples for cooking

Apples can be used to make many delicious recipes.
Tables and Figures

- Must be included in front matter
  - List of Tables, if there is more than 1 table
  - List of Figures, if there is more than 1 figure
  - Applies even if all figures/tables are in appendices

- Choose how to number figures/tables
  - Consecutively: Figure/Table 1, 2, 3, etc.
  - Tied to location within chapter: Figure/Table 1.1, 1.2, A.1, etc.

- Choose how to include figures and tables
  - Embed them in text (must adhere to white space rule)
  - Group at end of each chapter
  - Group in one or more appendices

- All table headings and figure captions must be unique
Tables

- **ALL** tables must have a **heading** which is above the table, single-spaced, and the same font size as body text.
- Table and heading must be *clearly separated* from text or other tables and figures.

Fusce posuere, magna sed pulvinar ultricies, purus lectus malesuada libero, sit amet commodo magna eros quis urna. Nunc viverra imperdiet enim. Fusce est. Vivamus a tellus. Pellentesque

**Table 2. This is the heading for Table 2.** Lorem ipsum dolor sit amet, consectetur adipiscing elit. Maecenas porttitor congue massa.

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Figures

- **ALL** figures must have a **caption** that is below the figure, single-spaced, and same font size as body text.
- Figure and caption must be **clearly separated** from text or other tables and figures.
Multi-Page Tables

- Entire heading is only above the first part of the table
- *List of Tables*: Only include page number where table begins
- *On all pages where the table is continued*
  - “Table x – continued” (minus quotes) must appear above each continued part of table
  - Must repeat column/row headings

<table>
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<th>Table 1 – continued</th>
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Multi-Page Figures

- Entire caption is only under the first part of the figure
- *List of Figures:* Only include page number where figure begins
- "Figure x - continued" (minus quotes) must appear:
  - Under each continued part of the figure **OR**
  - Above the continued portion of a caption

Figure 1. Flower colors vary widely a) mum cultivars b) tulip cultivars.

Figure 1 - continued.
Avoid White Space

Table 2. This is the heading for the table.

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Figure 1. Flower colors vary widely. a) This is an orange mum. b) Yellow tulips, and one with a streak of red.

Aliquam erat volutpat. In nec tristique luctus. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Proin semper, metus in pharetra suscipit, nunc purus malesuada, nisi ipsum eget, odio ligula volutpat, nunc lacus euismod, purus ipsum pretium metus, in tincidunt nullu rutrum eget.

Avoid White Space

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Figure 1. Flower colors vary widely: a) This is an orange mum. b) Yellow tulips, and one with a streak of red.

Suspendisse ligaburis lorem pellentesque magna. Nam est null. Donec blandit ligabu ligua.


Nam eis ante. Quisque aliquam tempor magna. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Nunc ac magna. Maecenas odio dolor, vulputate vel, auctor ac, accumsan id, felis. Pellentesque cursus sagittis felis. Pellentesque...
White Space Exceptions

White space OK if:

- Table, figure, etc. fills at least 2/3 of page
  
  OR

- Tables, figures, etc. grouped at end of chapter or in appendix

- Must center figure, table, etc. vertically & horizontally on page

- Place more than 1 table, figure, etc. on page, if there is space
At this point, the Manuscript Clearance Advisors will provide tips for proper formatting in WORD.

Students may follow along in their own documents.
Manuscript Clearance Office

- Located in The Graduate School
  - 314 Westcott Building
  - (850) 644-3501
  - clearance@fsu.edu

- Laura Minor, Manuscript Clearance Advisor
  - 850-644-0045
  - laminor@fsu.edu

- Jeff Norcini, Ass’t. Manuscript Clearance Advisor
  - 850-645-7322 (Monday, Tuesday AM, & Thursday only)
  - jnorcini@fsu.edu