Manuscript Clearance

The Graduate School

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Assistant Manuscript Clearance Advisor
Workshop Overview

**Part 1**  
Resolve Potential Issues  
- Copyright Essentials  
- Research Approvals  
- Valid Committee Rules

**Part 2**  
Understand the Process  
- Clearance Steps  
- Manuscript Submission  
- Clearance Forms  
- Submission Deadlines

**Part 3**  
Review Proper Formatting  
- Review Our Guidelines  
- **WORD** Formatting Tips & Tricks

**Thesis, Treatise, and Dissertation** website:  

**Workshop presentation:**  
Resolve Potential Issues

- Copyright Essentials
- Research Approvals
- Valid Committee Rules
Use of Copyrighted Material

- Obtaining permission to use copyrighted material is **student’s responsibility** and must be a priority

- Some material may be covered by “Fair Use”; if in doubt, request permission from copyright owner

- “Fair Use” & Copyright Resources: [http://guides.lib.fsu.edu/copyright](http://guides.lib.fsu.edu/copyright)

- **Copyright Permission Letter(s)**
  - Must upload to your ProQuest ETD account
  - Do not place in appendix unless requested by owner
  - Delete/redact/obscure personal contact info
Student’s Copyright

- In most cases, student owns copyright once manuscript is "fixed in a tangible medium"

- **Recommended, but optional:**
  - Copyright registration ([www.copyright.gov](http://www.copyright.gov))
  - Add © symbol with name & year to the title page

- **If any part of the manuscript has been published:**
  - Copyright may have been assigned to the publisher
  - Publisher must be recognized according to their terms
Copyright Questions?

- Direct questions to FSU’s Office of Digital Research & Scholarship
  - Located on lower level of Strozier
  - Camille Thomas, Scholarly Communications Librarian, (cthomas5@fsu.edu; 850-645-2163)
  - Contact Form: https://www.lib.fsu.edu/DRS/form/contact-us

- Canvas Commons module: Copyright for Theses & Dissertations @ FSU
  - https://lor.instructure.com/resources/1c142b242ec347ebac73deab516dc0c1?shared
Examples of research that may need IRB approval

- Primary research using human subjects (including surveys/questionnaires)
- Secondary research using data linked to human subjects
- Research using human cell lines

Only the IRB has the authority to determine if projects qualify as "exempt" or "not human subjects research"

- Students may be required to provide evidence of or seek a determination before clearance is granted

Approvals must cover entire period of research

- Obtain approval prior to beginning data collection
- Obtain re-approval(s) as required and include copies of all in appendix
Human Subjects Research Approval (IRB)

- Student must be listed on project as one of the following:
  - **Principal Investigator** on IRB Approval
  - **Associate (or Co-) Investigator on IRB application**
    - Include page of application that lists student’s name & role

- Include **ALL** IRB Approval & Re-Approval memos in an appendix, plus sample consent form if primary research

- Students must delete/redact/obscure their personal contact info on all documents
  - If obscuring, deactivate any hyperlinked email addresses

- If PI, be sure to close project prior to leaving FSU

Contact the Office for Human Subjects Protection with questions or concerns:
(850) 644-7900 or humansubjects@fsu.edu
Approval from the ACUC is typically needed when research involves *vertebrate* animals.

Approval must cover entire period of research and data analyses.

Include **Letter of Assurance** in appendix:
- Request from FSU’s ACUC Office
- Students must obscure the signature at the bottom of the letter

Contact the ACUC Office with questions or concerns at (850) 644-4262 or ACUCSecretary@fsu.edu
ACUC Letter of Assurance Example

The Graduate School
Florida State University

To Whom It May Concern:

Concerning the thesis/dissertation submitted to the Graduate School by:

Graduate Student:
Thesis/Dissertation Title:
Department:
Major Professor:

The graduate student named above has provided assurance to the FSU Animal Care and Use Committee that all animal procedures utilized in work resulting in this thesis/dissertation are described in FSU ACUC Protocol(s).

<table>
<thead>
<tr>
<th>Protocol Number</th>
<th>Title</th>
<th>Date ACUC Approval</th>
</tr>
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</table>

The Animal Care and Use Committee has confirmed that this student was included as a project member during the period covering their thesis/dissertation work. This institution has an Animal Welfare Assurance on file with the Office for Laboratory Animal Welfare. The Assurance Number is:...

Sincerely,

ACUC Veterinarian
FSU Animal Care and Use Committee

cc:kj
Committees

- Must have valid committee in Graduate Student Tracking (GST) database prior to defense
  - **Doctoral Committee**
    - Minimum 4 members with Graduate Faculty Status (GFS)
    - University Rep: Tenured at FSU; from outside department
  - **Master’s Committee**
    - Minimum 3 members with GFS

- Members that need “temporary status”
  - Must hold this status prior to the defense
  - May only serve as Co-Chair or Member in addition to required GFS members
Committee Members

- Committee members (and roles) are **not official** until entered in GST
- Contact *Graduate Program Coordinator* for committee changes in GST

**Committee Page in manuscript**
- If more than 1 committee chair, both are *co-chairs*
- Both co-chairs listed as: *Professor Co-Directing [Thesis, Dissertation, or Treatise]*
- Members/roles **must exactly concur** with GST
- Name only; no titles (Dr, PhD, etc.) or signatures
Understand the Process

✓ Clearance Steps
✓ Manuscript Submission
✓ Clearance Forms
✓ Submission Deadlines
1-2. Complete in any order:
   - Submit pre-defense manuscript to our office through ProQuest ETD
   - Submit Defense Announcement in Manuscript Clearance (MC) Portal

3. Receive initial formatting feedback from our office

4. Successfully complete defense

5. Revise manuscript: Content changes from committee AND required formatting revisions from our office

6. Get revisions approved by committee; make sure all forms through Final Content Approval Form are complete in the MC Portal

7. Submit post-defense, revised manuscript through ProQuest ETD

8. Receive additional formatting feedback, revise, and resubmit (as many times as needed)

9. Manuscript is officially cleared!
Pre-defense format review is required (See deadlines)

To be “reviewable,” the manuscript MUST:

• Be at least 90% complete
  ◦ Include all required sections: Title/committee pages, Table of Contents (with page #s), Lists (if needed; with page #s), Abstract, Chapters, References, Biographical Sketch, and most tables/figures

• Be consistently formatted per most recent version of Guidelines and Requirements for ETDs

If not properly formatted or not 90% complete:

• Will NOT be reviewed
• Will NOT be considered as meeting any deadline
Submit pre-defense manuscript and revisions via ProQuest ETD Admin. ([www.etdadmin.com/fsu](http://www.etdadmin.com/fsu))

Must set up ProQuest account to submit pre-defense manuscript *(last step in set-up process)*

- Manuscripts received by our office *(not ProQuest)*
- Students emailed confirmation after initial submission, but *not* for revisions
- *Final version* delivered to ProQuest by our office after graduation is verified by FSU Registrar

Uploading PDF *(not Word doc)* is highly recommended

- Check for formatting errors caused by conversion before submitting *(e.g., blank pages, displaced subheadings)*
Options selected during account setup refer to **final version**, not pre-defense version of manuscript

All paid services offered by ProQuest are **optional**

**Publishing Agreement**
- Traditional (*free*) vs. Open Access Publishing ($95)
- FSU’s digital repository includes **free open access** publishing

**Copyright Registration** ($75; less $ if done by student)

**Ordering Copies** ($31-$62 per copy)
- May take 6 months after graduation to receive copies

After setup, paid options can only be changed by contacting ProQuest: [disspub@proquest.com](mailto:disspub@proquest.com); 800-521-0600
Supplementary Files

- ProQuest ETD provides a place to upload supplementary files
- May include videos, sound files, large images, large data files, etc.
- Provide short description of each file in the abstract (both in manuscript and ProQuest)
- Contact our office for alternate instructions if large files are not uploading
All Manuscript Clearance forms are completed through the **Manuscript Clearance Portal**: clearance.fsu.edu

Same web address used for students, faculty, & staff
- Log in with FSUID and password

Non-FSU committee members with temporary status must activate FSUID **prior to defense** to access Portal

Committee members *(as listed in GST)* are sent automatic notification emails about forms:
- Day of defense (1 am EST), then once per week until completed
**View after first log in:** *Manuscript Clearance Information*

This page is *not comprehensive*

Students are responsible for reviewing all information on the Thesis, Treatise, and Dissertation website

**Tip:** The garnet “i” icons contain extra information, which is displayed when cursor is moved over icon.
Forms Summary Tab

- Student must complete two forms on this tab
- Student profile is not visible to MC Office & Grad. Coordinators until either form is submitted
- Reminder emails sent to students after a form is submitted
Defense Announcement

- **Confirm accuracy** of committee/roles. *If incorrect, contact Grad. Program Coordinator immediately.*

- Submit once scheduled, but **2 weeks** before defense at latest per FSU policy.

- Edits can be made until **48 hours before** defense.

- If date/time changes, students **must revise** form immediately to avoid issues.
Manuscript Access Agreement

- Manuscripts archived in FSU Libraries and ProQuest as condition of undertaking graduate study at FSU
- Provides details about agreement and access options
- Completion before defense is encouraged, but must be complete before final deadline

Manuscript Access Agreement Form

Name: Minor, Laura Ashley
EMPLID: 000051995
FSUID: lam03c

FSU Access Agreement

I hereby grant to Florida State University and its agents the non-exclusive license to archive and distribute, under the conditions specified below, my thesis, treatise or dissertation in whole or in part in all forms of media, now or hereafter known. I retain all other ownership rights to the copyright of the thesis, treatise or dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis, treatise or dissertation. I also hereby certify that:

- if appropriate, I have obtained a written permission statement from the owner(s) of each third party copyrighted matter included in my thesis, treatise or dissertation (ETD) allowing distribution as specified below, or claim Fair Use of the materials; and
- the version of the thesis, treatise or dissertation (ETD) I submitted is the same as that approved by my advisory committee.

The manuscript must be made available in its complete and original format. It cannot be subdivided into chapters and disseminated under different distribution options.

☐ I agree to the FSU Access Agreement conditions outlined above.

Students must agree to these conditions.
Manuscript Access Agreement

- After completing initial agreement, students must select access option

- **World Wide**
  - Does not require additional information
  - Only needs approval by committee chair(s)

- **Emargo Access**
  - Must complete *Emargo Request* section
  - Needs approval by comm. chair(s), MC Advisor, and Grad. School Dean

*Tip: Click “Expand Definition” for description of each option.*
Embargo Information

- **Embargo access** means viewers can see metadata (title, abstract, etc.), but cannot access the full text.

- **Reasons to request embargo:** Publishing book, journal preprint policy, submitting patent, etc.

- Initial embargoes are **24 months**; up to 2 renewals, if warranted (*Renewal request requires approval each time*).

- Must select embargo on ProQuest if “Embargo Access” is selected on *Manuscript Access Agreement Form*.
  - Under **Publishing Options**, then **Delaying release to ProQuest**: Select “No”, then “2 years”, then select a reason.

- Requests **not** accepted after manuscript is cleared.
Post-Defense Forms Summary

- Deadlines display after Defense Announcement is completed
- Form availability and status can be tracked on the **Forms Summary** tab before and after defense
- Any **outstanding signatures** can be viewed after defense
# Post-Defense Forms Overview

<table>
<thead>
<tr>
<th>Form Name</th>
<th>When Is Form Submitted?</th>
<th>Who Will Submit?</th>
<th>Who Must Approve?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manuscript Signature</td>
<td>After defense</td>
<td>All committee members</td>
<td>n/a</td>
</tr>
<tr>
<td>Final Content Approval</td>
<td>After previous forms are complete (incl. Access Agreement)</td>
<td>Committee Chair(s)</td>
<td>n/a</td>
</tr>
<tr>
<td>Official Final Manuscript Clearance</td>
<td>After all forms are complete and manuscript formatting is approved</td>
<td>Manuscript Clearance Advisor</td>
<td>n/a</td>
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</tbody>
</table>

*Ultimately, it is the student’s responsibility to ensure that all forms are completed in the MC Portal by the deadline.*
## Semester Deadlines

<table>
<thead>
<tr>
<th>Semester Deadline</th>
<th>Spring 2021</th>
<th>Summer 2021</th>
<th>Fall 2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last day to submit doctoral dissertation or treatise for <strong>pre-defense format review</strong> <em>(Submit on ProQuest ETD by 11:59 pm ET)</em></td>
<td>Mar. 8</td>
<td>Jun. 14</td>
<td>Oct. 25</td>
</tr>
<tr>
<td>Last day to submit master’s thesis for <strong>pre-defense format review</strong> <em>(Submit on ProQuest ETD by 11:59 pm ET)</em></td>
<td>Mar. 22</td>
<td>Jun. 28</td>
<td>Nov. 8</td>
</tr>
<tr>
<td>Last day to submit the following <em>(by 11:59 pm ET)</em></td>
<td>Apr. 5</td>
<td>Jul. 12</td>
<td>Nov. 22</td>
</tr>
<tr>
<td>• <strong>Post-defense manuscript</strong> on ProQuest ETD</td>
<td>Apr. 23</td>
<td>Jul. 30</td>
<td>Dec. 10</td>
</tr>
<tr>
<td>• <strong>MC Portal forms</strong> through Final Content Approval</td>
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<tr>
<td>Last day for all students to receive <strong>Official Final Clearance</strong> in the <strong>MC Portal</strong></td>
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Deadlines are updated each semester: [https://gradschool.fsu.edu/academics-research/thesis-treatise-and-dissertation/manuscript-clearance-deadlines](https://gradschool.fsu.edu/academics-research/thesis-treatise-and-dissertation/manuscript-clearance-deadlines)
Plan Ahead to Meet Deadlines

- Students who miss a semester deadline are **ineligible** to graduate in current semester
- Strongly recommend defending at least **1 week before** post-defense deadline
- **Even if defense is near/on post-defense deadline**
  - By 11:59 pm ET of deadline – Revision with **ALL content changes/formatting corrections** uploaded to ProQuest **AND** Final Content Approval Form **must** be complete
- **After post-defense deadline**
  - Additional formatting corrections **usually** required
  - Content corrections **NOT** permitted
60-Day Deadline

- **60-Day Deadline applies to students who**
  - Defend *early* in semester of expected graduation
  - Defend in a semester *prior* to expected graduation
  - Missed a semester deadline after defending (which delays graduation)

- **No later than 60 days after a successful defense**
  - Post-defense, final content-approved manuscript submitted
  - Required forms (through *Final Content Approval*) completed

- **No later than 1 week after the 60-Day Deadline**
  - Requested formatting corrections completed
  - *Official Final Clearance* achieved in the MC Portal

*Please note:* University closures and weekends are not excluded when calculating the 60 days, but deadline may be adjusted if it occurs during closure or on a weekend.
Review Proper Formatting

✓ Review Our Guidelines
✓ Formatting Tips & Tricks in Word
Page Size and Margins

- **Page Size**
  - 8.5 x 11 inches; no exceptions
    (Make sure **not** A4, 8.27 x 11.69 inches)
  - After conversion to PDF, check **page size** **PRIOR** to uploading to ProQuest

- **Margins**
  - 1 inch – top, bottom, left, right
  - No text, tables, or figures can extend into margins
    (only page numbers)
Pagination

- **Page Numbers**
  - All located bottom-center of page
  - All 0.75 inches from bottom edge

- **Preliminary Pages (i.e., front matter)**
  - Lower case Roman numerals (ii, iii, etc.)
  - Title Page = Page i, but not numbered

- **Body of Manuscript**
  - Arabic numerals (1, 2, 3, etc.)
  - First page after Abstract = Page 1
Fonts

- **Use same font for entire manuscript**
  - For WORD, use “TrueType” font (e.g., Times New Roman, Arial, Courier, Calibri)

- **Font size**
  - 12 pt, including subheadings, table headings, figure captions, etc.
  - Main section headings may be up to 14 pt

- **Exceptions for font/font size**: Footnotes, text within tables/figures, and appendices

- Use **black font** for all text outside of tables/figures
Line Spacing

- All **1.5 OR all double** spaced for abstract, body of text, and biographical sketch *(if in paragraph form)*

**Exceptions**

- **Must** use 1.5 line spacing:
  - *Committee Page*

- **Must** be single-spaced with blank line between each entry:
  - *References & List of Figures/Tables*

- **Must** be single-spaced throughout manuscript:
  - *Table headings & figure captions*

- **May** be single-spaced, if consistent:
  - *Block quotes & footnotes*
Line Spacing

- Make sure that **Before** and **After** are “0 pt”
  - If either is not 0 pt, spacing before and/or after paragraphs (¶) is greater than indicated by line spacing
  - Often results in excess spacing before/after headings and subheadings
CHAPTER 1
INTRODUCTION
Apples of the World

There are several different types of apples. Some of these are Granny Smith, Golden Delicious, Red Delicious, Gala, and McIntosh. Apples can be used to make many delicious recipes.
Subheadings

- Same font and font size as text (12 pt)
- Each level of subheading
  - Must be formatted *differently* from other levels, but *consistently* across all chapters
  - Use any variation of capitalization (title or sentence case, NOT all/small CAPS); alignment (centered, left, indented); font face (normal, bold, italics); underlined
- If using numbers, at least 1\textsuperscript{st} and 2\textsuperscript{nd} levels must be numbered (*no Roman numerals or letters*)
- At least 2 lines of body text needed under subheadings at bottom of page
- Cannot skip a level of subheading
CHAPTER 1

INTRODUCTION

Apples of the World

There are several different types of apples. Some of these are Granny Smith, Golden Delicious, Red Delicious, Gala, and McIntosh. Apples can be used to make many delicious recipes.

Yellow and Green Apples

Golden Delicious and Granny Smith are examples of yellow or green apples.

Apples for cooking. Apples can be used to make many delicious recipes.
Tables and Figures

- Lists may be required in front matter *(each on own page)*
  - **List of Tables**, if there is more than 1 table
  - **List of Figures**, if there is more than 1 figure
  - Applies even if all figures/tables are in appendices

- **Choose how to number figures/tables**
  - Consecutively across manuscript: Figure/Table 1, 2, 3, etc.
  - Related to each chapter/appendix: *Chapters* – Fig./Table 1.1, 1.2, 2.1, etc.; *Appendices* – Fig./Table A.1, A.2, B.1, etc.

- **Choose how to include figures and tables**
  - Embed in text *(Follow white space rules; discussed later)*
  - Group at end of each chapter
  - Group in one or more appendices

- All table headings and figure captions must be **unique**

*Florida State University - The Graduate School*
Tables

- **ALL** tables must have a **heading**
  - Located above table, single-spaced, 12 pt. (*same as body text*)
- Table and heading must be *clearly separated* from text or other tables and figures

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**Table 2. This is the heading for Table 2.** Lorem ipsum dolor sit amet, consectetur adipiscing elit. Maecenas porttitor congue massa.

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</table>

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habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas; proin pharetra.
```

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Aliquam erat volutpat. Integer ultrices lobortis eros. Pellentesque habitant morbi tristique
```
ALL figures must have a caption

- Located below figure, single-spaced, 12 pt. (same as body text)

Figure and caption must be clearly separated from text or other tables and figures

Figure 1. Bratus non foliis ac turpis egestas. Proin pharetra nonummy pede. Mauris et orci. Aenean nec lorem. In porttitor. Donec laoreet nonummy augue.
Multi-Page Tables

- Entire heading is only above the first part of the table
- *List of Tables:* Only include page number where table begins
- *On all pages where the table is continued*
  - "Table x – continued" (without quotes) must appear above each continued part of table
  - Must repeat column/row headings

Table 1 – continued

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<th>Col. 2</th>
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</table>
Multi-Page Figures

- Caption (at least 1st line) must be under the first part of figure
- List of Figures: Only include page number where figure begins
- “Figure x - continued” (without quotes) must appear:
  - Under each continued part of the figure and/or
  - Above the continued portion of a caption, if any

Figure 1. Flower colors vary widely a) mum cultivars b) tulip cultivars.

Figure 1 - continued.
Avoid White Space

Table 2. This is the heading for the table.

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Figure 1. Flower colors vary widely: a) This is an orange mum. b) Yellow tulips, and one with a streak of red.

Aliquam erat volutpat. In eget ultrices lobortis eros. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Proin semper, metus a fringilla eleifend, nunc augue rutrum eros, in laoreet risus magna vel est.


White Space Exceptions

White space OK if:

• Table, figure, etc. fills at least 2/3 of page

  OR

• Tables, figures, etc. grouped at end of chapter or in appendix

  White space allowed

• Must center figure, table, etc. vertically & horizontally on page

• Place more than 1 table, figure, etc. on page when possible

  White space allowed
At this point, the Manuscript Clearance Advisors will demonstrate tips for proper formatting in WORD.
Manuscript Clearance Office

- Located in The Graduate School
  - 314 Westcott Building
  - (850) 644-3501
  - clearance@fsu.edu (contact to set up Zoom appointment)

- Laura Minor, Manuscript Clearance Advisor
  - Office: (850) 644-0045
  - laminor@fsu.edu

- Jeff Norcini, Assistant Manuscript Clearance Advisor
  - Part-time: Monday, Tuesday AM, & Thursday
  - Cell: (850) 491-0910 (working remotely most days)
  - jnorcini@fsu.edu

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